

## Information to the Shareholders

### CS Investment Funds 4

Investment Company with Variable Capital  
under Luxembourg Law

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L-2180 Luxembourg  
R.C.S. Luxembourg B 134.528

(the “Company”)

### I. Notice to the Shareholders of CS Investment Funds 4 – Credit Suisse (Lux) Global Equity Theme Fund

Notice is hereby given to the Shareholders of **CS Investment Funds 4 – Credit Suisse (Lux) Global Equity Theme Fund** (for the purpose of this point the “Subfund”) that the Board of Directors of the Company has decided to amend the Subfund’s name into **CS Investment Funds 4 – Credit Suisse (Lux) SIMAG® Systematic Global Equity Fund**, as well as the investment objective and principles as follows:

#### *Current investment objective*

The objective of the Subfund is to achieve the highest possible return in US dollars (Reference Currency), while taking due account of the principle of risk diversification, the security of the capital invested, and the liquidity of the assets.

#### *New investment objective*

The objective of the Subfund is to achieve the highest possible return in US Dollar (Reference Currency), while taking due account of the principle of risk diversification, the security of the capital invested, and the liquidity of the assets. The investment process is driven by the proprietary SIMAG® methodology, which aims to identify and exploit price patterns caused by collective investor behavior with hierarchical feedback dynamics.

#### *Current investment principles*

The Subfund’s assets shall be mainly invested directly or indirectly (via investment funds (“Target Funds”) and/or ETF) in equities and equity-type securities (American depository receipts [ADRs], global depository receipts [GDRs] (excluding securities with embedded derivatives) each of which qualifies as transferable security within the meaning of the Law of 17 December 2010), profit-sharing certificates, dividend rights certificates, participation certificates, etc.) of companies worldwide. The Subfund may also invest in equities and equity-type securities of companies which are domiciled or conduct the bulk of their business activities in emerging markets.

To achieve the investment objective the investment decisions are based on theme and securities preferences provided by Credit Suisse’s internal research. A theme consists of an aggregation of several sub-sectors, which respond to the same geographical, socio-political, macroeconomic or ecological development. Depending on the themes favored by Credit Suisse’s internal research, the portfolio may be highly concentrated towards certain sectors, style or small market capitalisations overall and on stock level. The themes favored will rotate over time according to the changing market expectations.

#### *New investment principles*

At least two-thirds of the Subfund’s net assets are invested in equities and equity-type securities (American depository receipts [ADRs], global depository receipts [GDRs], profit-sharing certificates, dividend rights certificates, participation certificates, etc. (excluding securities with embedded derivatives)) of companies which are either listed, domiciled or conduct the bulk of their activities in developed markets worldwide. The Subfund may also invest in equities and equity-type securities of companies which are domiciled or conduct the bulk of their activities in emerging markets.

The SIMAG® methodology systematically scans a broad universe of investible companies for those specific patterns and applies a variety of state-of-the-art quantitative techniques both for bottom-up stock selection and portfolio

construction, which differ depending on the prevailing market regime (normal versus bearish markets).

First, the SIMAG® investment process applies a series of liquidity filters to the targeted investment universe. This process excludes securities with market capitalisation and trading volume below certain target levels as well as securities with insufficient or excessive price moves.

As a second step, the SIMAG® LPPLS methodology (or log-periodic power law singularity) identifies stocks with positive or negative feedback on the basis of their behaviour over a certain period of time in relation to their market environment.

On the basis of that information, stocks are ranked and weighted accordingly. A portion of the portfolio is intended to be allocated to investments in cash or cash equivalents. The portfolio is rebalanced regularly, or immediately if prevailing market conditions change.

The investment manager focuses on cost effective implementation of the signals derived from the model.

Depending on the SIMAG® methodology, the portfolio may be concentrated in terms of e.g. countries, sectors, styles or market capitalisation size.

Up to 25% of the Subfund's net assets may be held under the form of cash or cash equivalents, bank deposits, commercial paper, treasury bills, or short term money market instruments.

Shareholders of the Subfund who do not agree with the change above mentioned may redeem their shares free of charge until 27 February 2018. All changes enter into effect on 28 February 2018.

## **II. Notice to the Shareholders of CS Investment Funds 4 – Credit Suisse (Lux) Capital Allocation Fund and CS Investment Funds 4 – Credit Suisse (Lux) Global High Income Fund USD**

Notice is hereby given to the Shareholders of **CS Investment Funds 4 – Credit Suisse (Lux) Capital Allocation Fund** and **CS Investment Funds 4 – Credit Suisse (Lux) Global High Income Fund USD** (for the purpose of this point the “Subfunds”) that the Board of Directors of the Company has decided to amend the Subfunds’ investment principles to specify that depending on the views and hedging strategies of the investment manager, the flexible allocation might reflect a long or short exposure within an asset class, whereas it is not the intention that a single asset class shows an overall net short exposure.

## **III. Notice to the Shareholders of CS Investment Funds 4 – Credit Suisse (Lux) Capital Allocation Fund**

Notice is hereby given to the Shareholders of **CS Investment Funds 4 – Credit Suisse (Lux) Capital Allocation Fund** (for the purpose of this point the “Subfund”) that the Board of Directors of the Company has decided to reduce percentage of the total net assets of the Subfund that may be invested in Target Funds to 10%.

Shareholders of the Subfund who do not agree with the change above mentioned may redeem their shares free of charge until 27 February 2018. All changes enter into effect on 28 February 2018.

Shareholders should note that, once the above changes enter into effect, the new prospectus of the Company, the key investor information documents as well as the articles of incorporation may be obtained in accordance with the provisions of the prospectus at the registered office of the Company or on the internet at [www.credit-suisse.com](http://www.credit-suisse.com).

Luxembourg, 29 January 2018

The Board of Directors